## JC10 Rec'd PCT/PTO 09 JUN 2005

FORM PTO-1390 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 12-2004)

|   | TRA         | NSMITTAL LETTER TO THE UNITED STATES  | ATTORNEY'S DOCKET NUMBER                           |  |  |  |  |  |  |  |  |  |  |
|---|-------------|---|--|--|--|--|--|--|--|--|--|--|--|
|   |             | ESIGNATED/ELECTED OFFICE (DO/EO/US)   | 047182-0141  |  |  |  |  |  |  |  |  |  |  |
|   | C           | DNCERNING A FILING UNDER 35 U.S.C. 371  | U.S. AS PLC TION TO. (IP(nor h. 68) 37 C. PR. 1.5) |  |  |  |  |  |  |  |  |  |  |
|   |             | l   | PRIORITY DATE CLAIMED                              |  |  |  |  |  |  |  |  |  |  |
|   |             | 003/038746   12/09/2003<br>VENTION  | 12/09/2002   |  |  |  |  |  |  |  |  |  |  |
| EMBEDDED NANOTUBE ARRAY SENSOR AND METHOD OF MAKING A NANOTUBE POLYMER COMPOSITE  |             |   |  |  |  |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US Pulickel AJAYAN et al.  |             |   |  |  |  |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |             |   |  |  |  |  |  |  |  |  |  |  |  |
| 1.  | $\boxtimes$ | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.  |  |  |  |  |  |  |  |  |  |  |  |
| 2.  |             | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.   |  |  |  |  |  |  |  |  |  |  |  |
| 3.  |             | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.   |  |  |  |  |  |  |  |  |  |  |  |
| 4.  | $\boxtimes$ | The US has been elected (Article 31).   |  |  |  |  |  |  |  |  |  |  |  |
| 5.  | $\boxtimes$ | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |  |  |  |  |  |  |  |  |  |  |  |
|   |             | is attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |  |  |  |  |  |  |  |
|   |             | has been communicated by the International Bureau.  |  |  |  |  |  |  |  |  |  |  |  |
|   |             | is not required, as the application was filed in the United States Red  | ceiving Office (RO/US)                             |  |  |  |  |  |  |  |  |  |  |
| 6<br>•  |             | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  is attached hereto.  has been previously submitted under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |  |  |  |  |  |  |
| 7.  | •           | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  are attached hereto (required only if not transmitted by the International Bureau).  have been communicated by the International Bureau.  have not been made; however, the time limit for making such amendments has NOT expired.  have not been made and will not be made. |  |  |  |  |  |  |  |  |  |  |  |
| 8.  |             | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |  |  |  |  |  |  |  |  |  |  |
| 9.  |             | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |  |  |  |  |  |  |  |  |  |  |
| 10.   |             | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).  |  |  |  |  |  |  |  |  |  |  |  |
| lten  | ıs 11 to 2  | 20 below concern other document(s) or information included:   |  |  |  |  |  |  |  |  |  |  |  |
| 11.   | $\boxtimes$ | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |  |  |  |  |  |  |  |  |  |  |  |
| 12.   |             | An assignment document for recording. A separate cover sheet in compliance  | ance with 37 CFR 3.28 and 3.31 is included.        |  |  |  |  |  |  |  |  |  |  |
| 13.   | $\boxtimes$ | A Preliminary Amendment.  |  |  |  |  |  |  |  |  |  |  |  |
| 14.   | $\boxtimes$ | An Application Data Sheet under 37 CFR 1.76.  |  |  |  |  |  |  |  |  |  |  |  |
| 15.   |             | A substitute specification.   |  |  |  |  |  |  |  |  |  |  |  |
| 16.   |             | A power of attorney and/or change of address letter.  |  |  |  |  |  |  |  |  |  |  |  |
| 17.   |             | A computer-readable form of the sequence listing in accordance with PCT   | Rule 13ter.2 and 37 CFR 1.821 – 1.825              |  |  |  |  |  |  |  |  |  |  |
| 18.   |             | A second copy of the published international application under 35 U.S.C. 19   | 54(d)(4).  |  |  |  |  |  |  |  |  |  |  |
| 19.   |             | A second copy of the English language translation of the international appli  | cation under 35 U.S.C. 154(d)(4).                  |  |  |  |  |  |  |  |  |  |  |
| 20.   | $\boxtimes$ | Other items or information: International Search Report (1 page).   |  |  |  |  |  |  |  |  |  |  |  |

## JC20 Rec'd PCT/PTO 09 JUN 2005

| U.SAP L CATO U   | 37   | 944           | R. 1.5)  |  |              |           |         | RNEY'S DOCKET NUMBER |                        |   |  |  |
|--|--|---------------|--|--|--------------|-----------|---------|----------------------|------------------------|---|--|--|
| The following fees have been submitted:  |  |               |  |  |              |           |         |                      |                        |   |  |  |
|  | sic natio  |               |  | · · · · · · · · · · · · · · · · · · ·                  |              | 300       |         | \$                   | 300.00                 | - |  |  |
| If International provisions of All other situation   | prelimin<br>f PCT A  | ary examina   | isfy   | \$   | 200.00       |           |         |                      |                        |   |  |  |
|  | arch fe  | <del></del> - |  |  |              |           |         |                      |                        |   |  |  |
| Search fee (37<br>USPTO as a   |  |               |  |  |              |           |         |                      |                        |   |  |  |
| International Se   |  |               |  |  |              |           |         |                      |                        |   |  |  |
| All other situation  |  | opon propa    | \$   | 100.00   |              |           |         |                      |                        |   |  |  |
|  |  | AL OF ABO     |  | \$   | 600.00       | · · · · · |         |                      |                        |   |  |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof. |  |               |  |  |              |           |         |                      |                        |   |  |  |
| Total Sheets   | Total Sheets Extra she   |               | Number of each additional 50 or fra<br>thereof (round up to a whole numb |  |              |           |         |                      |                        |   |  |  |
| 35 - 100 =   | 0  | /50 =         |  | 0  |              | 250.00    | \$      | 0.00                 |                        |   |  |  |
| Surcharge of \$ earliest claimed   |  |               | \$   | 0.00   |              |           |         |                      |                        |   |  |  |
| CLAIMS   |  | NUMBER        | FILED  | NUMBER EXTRA   | f            | RATE      |         |                      |                        |   |  |  |
| Total Claim  | -  | 44            | - 20 =   | 24   | x\$          |           | 50.00   | \$                   | 1200.00                |   |  |  |
| Independent C  |  | 4             | - 3 =  | 1  | x \$<br>+ \$ |           | 200.00  | \$                   | 200.00                 |   |  |  |
| MULTIPLE DEI   | PENDE  | NT CLAIM(     | 360.00   | \$   | 0.00         |           |         |                      |                        |   |  |  |
| TOTAL OF ABOVE CALCULATIONS =  |  |               |  |  |              |           |         |                      | 2000.00                |   |  |  |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are + \$ 1000.00 reduced by 1/2.   |  |               |  |  |              |           |         |                      |                        |   |  |  |
|  |  |               | TAL =  | \$   | 1000.00      |           |         |                      |                        |   |  |  |
| Processing fee<br>from the earlies   |  |               |  | \$   | 0.00         |           |         |                      |                        |   |  |  |
|  |  |               |  |  | AL NATIO     |           |         | \$                   | 1000.00                |   |  |  |
|  | -  |               | -  | (37 CFR 1.21(h)). The as<br>et (37 CFR 3.28, 3.31). 40 | _            |           |         | \$                   | 0.00                   |   |  |  |
|  |  |               |  | TOTAL  | FEES EI      | NCLO      | SED =   | \$                   | 1000.00                |   |  |  |
|  |  |               |  |  |              |           |         | ,                    | Amount to be refunded: |   |  |  |
|  |  |               |  | ·  |              |           |         |                      | charged:               |   |  |  |
| a. 🛛 Act   | heck in  | the amou      | nt of <u>\$1</u>   | ,000.00 to cover the abo                               | ove fees     | is en     | closed. |                      |                        |   |  |  |
|  |  |               |  |  |              |           |         |                      |                        |   |  |  |
|  | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed. |               |  |  |              |           |         |                      |                        |   |  |  |
| info   | rmatio   |               |  | redit card. WARNING:<br>included on this form.         |              |           |         |                      |                        |   |  |  |
| 2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.                           |  |               |  |  |              |           |         |                      |                        |   |  |  |
| SEND ALL CORRESPONDENCE TO:  |  |               |  |  |              |           |         |                      | 18 Mech                |   |  |  |
| SIGNATÍ  |  |               |  |  |              |           |         | –                    |                        |   |  |  |
| Customer Number: 22420   |  |               |  |  |              |           |         | B. Maebius           |                        |   |  |  |
| l cus  | come   | Number        | . 22420  | )  |              |           | ME      | l                    |                        |   |  |  |
| 35,264   |  |               |  |  |              |           |         |                      |                        |   |  |  |
|  |  |               |  |  |              | RE        | :GISTR  | AHC                  | N NUMBER               |   |  |  |